Donor-Acceptor Functionalized Aza-BODIPY Derivatives

M.Sc. Thesis

By

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DEPARTMENT OF CHEMISTRY

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Donor-Acceptor Functionalized Aza-BODIPY Derivatives

A THESIS

Submitted in partial fulfilment of the requirements for the award of the degree

of

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by

Pratiksha Dad



DEPARTMENT OF CHEMISTRY INDIAN INSTITUTE OF TECHNOLOGY INDORE

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CANDIDATE'S DECLARATION

I hereby declare that the work which is being presented in the thesis entitled **Donor–Acceptor Functionalized Aza–BODIPY Derivatives** in the partial fulfilment of the requirements for the award of the degree of **MASTER OF SCIENCE** and submitted to the **DEPARTMENT OF CHEMISTRY, Indian Institute of Technology Indore**, is an authentic record of my own work carried out during the time period from August 2021 of joining the M.Sc. program to May 2022 of M.Sc. Thesis submission under the supervision of Prof. Rajneesh Misra, Professor, IIT Indore.

The matter presented in this thesis has not been submitted by me for the award of any other degree of this or any other institute.

Protiestot Pratiksha Dad

This is to certify that the above statement made by the candidate is correct to the best of my knowledge.



Prof. Rajneesh Misra

Pratiksha Dad has successfully given her M.Sc. Oral Examination held on May

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M.Sc. Student

DEDICATED TO MY PARENTS AND MY FRIENDS.....

For their support in every stage of my life!

ABSTRACT

Aza-boron-dipyrromethene (Aza-BODIPY) is a hetero-atom substituted boron-dipyrromethene (BODIPY), in which a carbon atom present at the *meso*-position is replaced by a nitrogen atom. Aza-BODIPYs show good optical and thermal stability. The donor-acceptor functionalized aza-BODIPY conjugates show lower HOMO-LUMO gap and absorption in near infra-red (NIR) region. Thus, such NIR absorbing materials can be used in various photonic and bio-applications such as bioimaging, sensors, therapeutics, organic light emitting diodes (OLEDs), organic photovoltaics, etc. In present work, we synthesized and characterized β -substituted aza-BODIPY derivatives by Palladium catalyzed Suzuki cross-coupling reaction. Also, the photophysical properties and theoretical calculations have been performed using the density functional theory (DFT) and time-dependent density functional theory (TD-DFT).

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ACRONYMS

НОМО	Highest Occupied Molecular Orbital
LUMO	Lowest Unoccupied Molecular Orbital
OFETs	Organic Field–Effect Transistors
OLEDs	Organic Light–Emitting Diodes

Chapter 1

INTRODUCTION

Boron–dipyrromethene (BODIPY) dyes are the organo–boron compounds which show absorption in ultraviolet (UV)/visible (vis) regions [1-2]. Also, BODIPYs show high donor ability, due to which they are used to generate multi–chromophore systems for the studying artificial photosynthetic models. Moreover, BODIPY dyes have good photostability & fluorescence quantum yield. However, the maximum absorptions of BODIPYs are below wavelength 700 nm and that is suboptimal for bio–applications because of the limited penetration depths.

Near-infrared (NIR) absorbing dyes show many applications such as fluorescence imaging used for deep tissue penetration and high resolution. O'Shea's group reported aza-boron-dipyrromethene i.e., aza-BODIPY in 2002 [3]. It is a hetero-atom substituted analogue of the conventional BODIPY, in which a carbon atom present at the *meso*-position on the BODIPY ring is replaced by a nitrogen atom (Figure 1). Such aza-BODIPY dyes retain the optical and thermal stability as well as exhibits bathochromically shifted absorption, and increased absorption coefficient compared to the BODIPY dyes. Aza-BODIPY dyes show fluorescence and absorption in a region with wavelength longer than 650 nm.

Along with the long wavelength absorptions aza-boron-dipyrromethenes have high molar extinction coefficient (ε), high quantum yields as well as narrow emission and absorption peaks [4]. Thus, aza-BODIPYs show various applications in sensors [5– 6], bioimaging [7–10], OLEDs [11], OFETs, therapeutics [12–15], organic photovoltaics, photosensitizers used in photodynamic therapy [16–20]. Aza-BODIPYs can be extensively studied as fluorescent probes as well as phototherapeutic agents.



Figure 1. General structures of BODIPY and aza-BODIPY dye.

The significant red-shifted absorption and emission of aza-BODIPYs from those of BODIPYs can be explained by the efficient stabilization of the LUMO due to the presence of nitrogen atom with higher electronegativity in the aza-BODIPY analogue than carbon atom in the BODIPY analogue at the *meso*-position. Since, a HOMO-LUMO transition occurs in case of absorption of BODIPY and aza-BODIPY, it has a longer wavelength absorption than BODIPY because of the narrower band gap [21–22]. The structural design of aza-BODIPY dye has an important role for its excellent photophysical properties that ultimately determine its versatile application. Apart from this, a further shift in the maximum absorption of aza-BODIPYs into the NIR–I or NIR–II region becomes a new drift for its application in high performance bio-applications.

Importantly, it has been observed that a significant red-shift of maximum absorption and emission into near-infrared region can be effectively achieved by π - π * conjugated extension, attachment of different donor moieties on the phenyl rings, B-atom chelation, different substitutions on core aza-BODIPY with electron-accepting/donating aromatic rings and replacing the fluorine atom by alkynyl groups or aryl groups [23–24].



Figure 2. Chemical structures of compounds synthesized.

Herein, we perform the synthesis of the three different β -functionalized aza-BODIPY dyes **3–5**. These compounds have been characterized by ¹H NMR, ¹³C NMR, LCMS and HRMS. Also, we have performed the photophysical properties and DFT, TD–DFT studies. These materials can be used in various photonic applications such as solar cell applications, sensing, bioimaging, etc.

Chapter 2

LITERATURE REVIEW

Owing to pioneering the investigations on aza–BODIPY dyes, three major synthetic methods of aza–BODIPY have been reported (Scheme 1–3). O'Shea *et al. [3,25]* proposed a synthetic method for symmetrical and asymmetrical aza–BODIPY products which includes a cyclization reaction of substituted ketones and nitromethane via Michael addition, followed by boron trifluoride complexation to generate the desired products (Scheme 1). Though the synthesis is simple following this method and the conditions used are mild, the yield of the product is quite less (20–50%).

O'Shea's method



Scheme 1. O'Shea's method for aza-BODIPY synthesis.

Carreira *et al.* [25,26] proposed a synthetic method for symmetrical and asymmetrical aza-BODIPY products which includes a direct cyclization of substituted pyrrole followed by boron trifluoride complexation (Scheme 2). In this case, product yield is higher (>50%). Furthermore, on comparison with the O'Shea's molecular structure, in this case the π - π * conjugated extension could be achieved in the parent molecule as this version can be fused with pyrrole.

Carreira's method



Scheme 2. Carreira's method for aza–BODIPY synthesis.

Lukyanets *et al.* [25,27] proposed a synthetic method for symmetrical aza– BODIPY products which includes a reaction of aryl MgBr and phthalonitrile. Further, the desired product can be generated by the boron trifluoride complexation (Scheme 3). However, this synthetic route for aza–BODIPY is having the lowest yield among all the three pathways (10–30%). Conclusively, the three synthetic routes provide a foundation for the synthesis of aza–BODIPY derivatives.

Lukyanet's method



Scheme 3. Lukyanet's method for aza–BODIPY synthesis.

3.1 General Methods

All the chemicals were used as received unless otherwise indicated. The moisture sensitive reactions were done under argon using Schlenk method. ¹H NMR (500 MHz) and ¹³C NMR (125 MHz) spectra were recorded by using solvent CDCl₃. The chemical shifts for ¹H NMR are mentioned in parts per million (ppm) relative to the solvent residual peak (CDCl₃, 7.26 ppm). The multiplicities are written as singlet (s), doublet (d), multiplet (m), and coupling constants, *J*, are reported in Hz. The chemical shifts for ¹³C NMR are given in ppm relative to solvent residual peak (CDCl₃, 54.0 ppm). LCMS and HRMS were performed on a mass spectrometer (ESI–TOF). The UV–visible absorption spectra of aza–BODIPY were performed on UV–visible Spectrophotometer in DCM (dichloromethane).

3.2 Experimental Procedures

3.2.1 Method for synthesis of aza-BODIPY 1

Aza-BODIPY 1 was synthesized using a four–step literature procedure [28]. ¹H NMR (500 MHz, CDCl₃, δ in ppm): 8.08–8.07 (4H, d, J = 5 Hz), 8.00–7.98 (4H, d, J =10 Hz), 7.29–7.26 (4H, m), 7.02–7.00 (6H, d, J = 10 Hz), 3.89 (6H, s), 2.45 (6H, s); ¹³C NMR (125 MHz, CDCl₃, δ in ppm): 161.8, 158.0, 147.7, 145.3, 143.2, 131.6, 129.9, 129.4, 129.2, 124.3, 118.0, 114.2, 55.4, 53.4, 31.6, 25.3, 22.7, 22.4, 21.5, 18.9; HRMS (ESI) *m*/*z* calcd for C₃₆H₃₀BF₂N₃O₂: 586.2478 [M+nH]⁺, found 586.2479 [M+nH]⁺.

3.2.2 Method for synthesis of aza-BODIPYs 3-5

2,8-dibromo-5,5-difluoro-3,7-bis(4-methoxyphenyl)-1,9-di-p-tolyl-5Hdipyrrolo[1,2-c:2',1'-f][1,3,5,2]triazaborinin-4-ium-5-uide **2** (100 mg, 0.14 mmol) and an appropriate boronic acid (phenyl boronic acid, naphthalenyl boronic acid and phenanthrenyl boronic acid) (50–100 mg, 0.42 mmol) were taken in a solvent mixture of water/THF/toluene (1:1:1) (20 mL) in a 100 mL one-neck round–bottomed flask. Na₂CO₃ (60 mg, 0.56 mmol) was added further. The RB was fitted with condenser and stirred for 15 minutes under argon atmosphere. Pd(PPh₃)₄ (16 mg, 0.014 mmol) was used as a catalyst and added to the reaction mixture. Further, it was refluxed at 60 °C for 6 to 10 hours. After completion of the reaction, the reaction mixture was quenched with distilled water (5 mL) and it was extracted with DCM. The combined organic layers were further washed with water and brine solution and dried over anhydrous Na₂SO₄. The solvent was evaporated using rota evaporator, and the crude residue was purified by column chromatography (3:2, dichloromethane:hexane) to afford aza–BODIPY **3–5**.

Aza–BODIPY 3:

Yield: 33%; ¹**H NMR** (500 MHz, CDCl₃, δ in ppm): 8.08–7.98 (3H, m), 7.47– 7.37 (6H, m) 7.29 (1H, s), 7.24–7.18 (6H, m), 7.12–7.10 (1H, d, J = 10 Hz), 7.06–7.04 (2H, m), 7.00–6.97 (4H, m), 6.81–6.75 (3H, m), 3.89–3.78 (6H, m), 2.45–2.36 (6H, m); ¹³**C NMR** (125 MHz, CDCl₃, δ in ppm): 162.1, 160.7, 159.4, 158.1, 156.6, 146.2, 145.2, 144.4, 143.8, 140.9, 140.0, 139.8, 138.4, 138.2, 135.6, 135.5, 133.0, 1332.4, 131.7, 131.0, 130.9, 130.7, 129.5, 129.4, 129.3, 129.1, 129.0, 128.5, 128.3, 128.2, 127.2, 127.1, 124.0, 123.4, 123.1, 118.1, 114.3, 113.3, 113.2, 55.4, 55.1, 34.7, 31.6, 26.9, 25.3, 22.7, 22.4, 22.5, 21.4, 20.7, 18.8; **HRMS** (ESI) *m/z* calcd for C₄₈H₃₈BF₂N₃O₂: 738.3106 [M+nH]⁺, found 738.3106 [M+nH]⁺.

Aza--BODIPY 4:

Yield: 27%; ¹**H NMR** (500 MHz, CDCl₃, *δ* in ppm): 8.08–8.05 (2H, t, *J* = 7.5 Hz), 7.80–7.74 (4H, m), 7.41–7.28 (11H, m), 7.25–7.22 (5H, m), 7.06–6.98 (2H, m), 6.94–6.88 (3H, m), 6.61–6.56 (3H, m) 3.89–3.66 (6H, m), 2.45–2.17 (6H, m); ¹³**C NMR** (125 MHz, CDCl₃, *δ* in ppm): 162.1, 160.6, 160.4, 142.4, 139.8, 138.5, 138.3, 133.6, 132.4, 131.7, 130.4, 130.3, 129.6, 129.4, 129.3, 129.2, 128.5, 128.2, 128.1, 126.3, 126.2, 126.0, 125.9, 125.5, 124.0, 123.6, 123.3, 114.3, 114.0, 113.1, 113.0, 55.4, 55.0, 21.5, 21.4; **LCMS** (ESI) *m*/*z* calcd for C₅₆H₄₂BF₂N₃O₂: 838.3420 [M+nH]⁺, found 838.3488 [M+nH]⁺.

Aza–BODIPY 5:

Yield: 25%; ¹**H NMR** (500 MHz, CDCl₃, δ in ppm): 8.69 (4H, s), 8.09 (2H, s), 7.90–7.88 (2H, m), 7.75–7.42 (18H, m), 7.09–6.99 (2H, m), 6.92–6.87 (3H, m), 6.56– 6.54 (3H, m), 3.89–3.61 (6H, m), 2.44–2.21 (6H, m); ¹³**C NMR** (125 MHz, CDCl₃, δ in ppm): 162.1, 160.6, 160.4, 159.5, 159.1, 145.4, 144.0, 142.6, 139.8, 138.6, 138.4, 136.0, 132.5, 131.8, 131.6, 131.5, 131.4, 131.3, 130.9, 130.7, 130.6, 130.5, 130.4, 130.3, 130.2, 130.1, 129.9, 129.6, 129.5, 129.4, 129.3, 129.2, 128.9, 128.7, 128.6, 128.4, 127.2, 127.0, 126.9, 126.8, 126.7, 126.6, 126.5, 124.3, 124.0, 123.6, 123.3, 123.2, 122.9, 122.8, 122.7, 122.3, 118.2, 115.9, 114.3, 114.2, 114.0, 113.3, 113.2, 106.1, 55.4, 55.0, 34.1, 33.8, 32.0, 31.6, 31.5, 30.2, 29.5, 29.4, 29.2, 29.0, 22.7, 22.4, 21.5, 21.3; **LCMS** (ESI) m/z calcd for C₅₆H₄₂BF₂N₃O₂: 938.3734 [M+nH]⁺, found 938.3763 [M+nH]⁺.

Chapter 4

RESULTS AND DISCUSSION

4.1 Synthesis



Scheme 4. Synthesis route of aza–BODIPY dyes 3–5.

5,5-Difluoro-3,7-bis(4-methoxyphenyl)-1,9-di-p-tolyl-5H-dipyrrolo[1,2-c:2',1'f][1,3,5,2]triazaborinin-4-ium-5-uide **1** was produced according to the literature procedures for the synthesis of aza–BODIPY dyes *[28]*. Further, the main precursor i.e., 2,8-dibromo-5,5-difluoro-3,7-bis(4-methoxyphenyl)-1,9-di-p-tolyl-5H-dipyrrolo[1,2c:2',1'-f][1,3,5,2]triazaborinin-4-ium-5-uide **2** was generated by reacting **1** with 2.2 equivalents of bromine in benzene solvent at room tempt for 2 hours *[29]*.

The desired aza–BODIPY dyes 3–5 were synthesized by reacting compound 2 with three different aryl boronic acids such as phenyl boronic acid, naphthalenyl boronic acid, phenanthrenyl boronic acid respectively under Suzuki cross–coupling reaction conditions. These reactions were carried out in presence of catalyst $Pd(PPh_3)_4$ and base Na_2CO_3 at 60 °C in toluene/THF/water solvents taken in 1:1:1 ratio. The

reaction mixture was further stirred for 6–10 hours to get the desired products. (Scheme 4)

The crude reaction mixtures were further subjected to column chromatography using neutral activated aluminium oxide to obtain the pure products. All the compounds (3–5) were characterized by LCMS, HRMS, ¹H NMR and ¹³C NMR techniques. These compounds found to be readily soluble in various organic solvents like toluene, chloroform, dichloromethane, etc.

4.2 Photophysical properties



Figure 3. Normalized absorption maxima of aza–BODIPYs **3–5** in DCM $(1 \times 10^{-5} \text{ M})$.

The photophysical properties of the aza–BODIPYs **3–5** in dichloromethane (DCM) are given in Table 1. In general, the aza–BODIPY dyes show absorption in visible to NIR region. Aza–BODIPY dyes **3–5** show absorption bands in the wavelength region of 670 to 680 nm owing to intramolecular charge transfer due to the aza–BODIPY core. Whereas, the absorption bands observed in the region of wavelength 430 to 540 nm are because of π – π * transitions.

Dye	λ_{abs}	3	Eg ^b
	(nm) ^a	(M -1.cm ⁻¹) ^a	(eV)
3	677	62,382	2.13
	498		
4	676	93,623	2.16
	497		
5	674	51,192	1.52
	502		

Table 1. The photophysical properties of aza–BODIPYs 3–5.

^aAbsorbance measured in DCM at a concentration of 1×10^{-5} M, ε ; molar extinction coefficient, ^bTheoretical values of the band calculated by the DFT calculations.

The phenyl substituted aza–BODIPY **3** shows slightly longer wavelength absorption compared to the naphthalene substituted aza–BODIPY **4**, while the aza–BODIPY **4** shows slightly longer wavelength absorption than the phenanthrene substituted aza–BODIPY **5**. Moreover, the molar extinction coefficient for aza–BODIPY **3** is 62,382 M⁻¹.cm⁻¹, for aza–BODIPY **4** is 93,623 M⁻¹.cm⁻¹ and for aza–BODIPY **5** is 51,192 M⁻¹.cm⁻¹.

4.3 Density functional theory

The frontier molecular orbitals of aza–BODIPYs **3–5** were investigated with DFT calculations by using Gaussian 09W program, at the B3LYP/6–319G(d, p) and structure optimization was carried out in the gas phase to better understand their structural properties and electronic properties.



Figure 4. The FMOs of aza–BODIPY dyes 3–5 at the B3LYP/6–31G(d, p) level.

The optimized structures of aza–BODIPY dyes **3–5** exhibit distorted geometry. The frontier molecular orbitals and the theoretically calculated highest occupied molecular orbitals (HOMOs) and lowest unoccupied molecular orbitals (LUMOs) energy levels are depicted in Figure 4. The HOMOs are localized on the donor as well as acceptor moieties and the LUMOs are localized on the aza–BODIPY core.

According to the computational calculations for aza–BODIPYs **3–5**, the HOMO energy levels are -5.01 eV, -5.01 eV, -4.80 eV and the corresponding LUMO energy levels are -2.88 eV, -2.85 eV, -3.28 eV respectively. Moreover, the TD–DFT approach was used to predict the vertical excitation energies in the aza–BODIPY dyes **3–5**. TD–DFT calculations suggest that the aza–BODIPY dyes **3–5** show two main transitions

occurring from HOMO to LUMO and HOMO-1 to LUMO in vis–NIR region. The low energy transition in aza–BODIPY dyes is π – π * in nature.



Figure 5. Energy level diagram of aza–BODIPY dyes 3–5.

In order to better understand the photophysical properties of aza–BODIPY dyes, we have performed DFT and TD–DFT calculations. The DFT–predicted molecular orbital diagram is shown in figure 5. Aza–BODIPY 3 shows band gap of 2.13 eV, aza–BODIPY 4 shows band gap of 2.16 eV whereas, aza–BODIPY 5 shows band gap of 1.52 eV.

Chapter 5

CONCLUSIONS

In conclusion, aza–BODIPY dyes **3–5** were synthesized using Palladium catalyzed Suzuki cross–coupling reaction. These dyes are characterized by ¹H NMR, ¹³C NMR and HRMS. In photophysical properties, aza–BODIPY dyes **3–5** show absorption in UV–visible region. According to the density functional theory, for compounds **3–5**, HOMO is localized on donor as well as acceptor moieties, whereas the LUMO is localized on the acceptor aza–BODIPY core. Incorporating with various donor moieties such as phenothiazine, carbazole, etc., the absorption maxima can be further shifted to near infrared region. Thus, these molecules will be utilized in various applications such as optoelectronic devices, solar cells, sensing, bioimaging, etc.

Chapter 6

SUPPORTING INFORMATION



Figure 6. HRMS of aza–BODIPY 1.



Figure 7. ¹H NMR spectrum of aza–BODIPY 1.



Figure 8. ¹³C NMR spectrum of aza–BODIPY 1.



Figure 9. HRMS of aza–BODIPY 3.



Figure 10. ¹H NMR spectrum of aza–BODIPY 3.



Figure 11. ¹³C NMR spectrum of aza–BODIPY 3.



Figure 12. LCMS of aza–BODIPY 4.



Figure 13. ¹H NMR spectrum of aza–BODIPY 4.



Figure 14. ¹³C NMR spectrum of aza–BODIPY 4.



Figure 15. LCMS of aza–BODIPY 5.



Figure 16. ¹H NMR spectrum of aza–BODIPY 5.



Figure 17. ¹³C NMR spectrum of aza–BODIPY 5.

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